

A Recommended Standard of the Joint Committee on the NTCIP

NTCIP 2202 v02.04a

National Transportation Communications for ITS Protocol Internet (TCP/IP and UDP/IP) Transport Profile

January 9, 2025

This is a draft document, which is distributed for review and comment purposes only. You may reproduce and distribute this document within your organization, but only for the purposes of and only to the extent necessary to facilitate review and comment to the NTCIP Coordinator. Please ensure that all copies include this notice. This document contains preliminary information that is subject to change.

Published by

American Association of State Highway and Transportation Officials (AASHTO)

555 12th Street, N.W., Suite 1000
Washington, D.C. 20004

Institute of Transportation Engineers (ITE)

1627 I Street, N.W., Suite 550
Washington, D.C. 20006-3438

National Electrical Manufacturers Association (NEMA)

1300 17th St N #900
Arlington, VA 22209-3801

Minor Version Revision History for NTCIP 2202 v02

Revision	Date	Author	Description (latest on top)
04a	01/09/2025	Vaughn	Minor editorial changes for RS ballot
04	08/30/2024	Vaughn	Minor editorial changes for pRS
03	05/17/2023	Vaughn	Update Acknowledgments section to fix page numbering
02	11/20/2022	Vaughn	Update front matter and Section 1 boilerplate
01	10/26/2022	Vaughn	Initial draft document to incorporate security improvements as recommended by NTCIP 9014 and the Infrastructure Standards Security Assessment (ISSA) Project.

NOTICES

Copyright Notice

© 2001, 2025 by the American Association of State Highway and Transportation Officials (AASHTO), the Institute of Transportation Engineers (ITE), and the National Electrical Manufacturers Association (NEMA). All intellectual property rights, including, but not limited to, the rights of reproduction, translation, and display are reserved under the laws of the United States of America, the Universal Copyright Convention, the Berne Convention, and the International and Pan American Copyright Conventions. Except as licensed or permitted, you may not copy these materials without prior written permission from AASHTO, ITE, or NEMA. Use of these materials does not give you any rights of ownership or claim of copyright in or to these materials.

PDF File License Agreement

To the extent that these materials are distributed by AASHTO / ITE / NEMA in the form of an Adobe® Portable Document Format (PDF) electronic data file (the “PDF file”), AASHTO / ITE / NEMA authorizes each registered PDF file user to view, download, copy, or print the PDF file available from the authorized Web site, subject to the terms and conditions of this license agreement:

- a) you may download one copy of each PDF file for personal, noncommercial, and intraorganizational use only;
- b) ownership of the PDF file is not transferred to you; you are licensed to use the PDF file;
- c) you may make one more electronic copy of the PDF file, such as to a second hard drive or burn to a CD;
- d) you agree not to copy, distribute, or transfer the PDF file from that media to any other electronic media or device;
- e) you may print one paper copy of the PDF file;
- f) you may make one paper reproduction of the printed copy;
- g) any permitted copies of the PDF file must retain the copyright notice, and any other proprietary notices contained in the file;
- h) the PDF file license does not include (1) resale of the PDF file or copies, (2) republishing the content in compendiums or anthologies, (3) publishing excerpts in commercial publications or works for hire, (4) editing or modification of the PDF file except those portions as permitted, (5) posting on network servers or distribution by electronic mail or from electronic storage devices, and (6) translation to other languages or conversion to other electronic formats;
- i) other use of the PDF file and printed copy requires express, prior written consent.

PRL and RTM Distribution Permission

To the extent that these materials are distributed by AASHTO / ITE / NEMA in the form of a Protocol Requirements List (“PRL”) or a Requirements Traceability Matrix (“RTM”), AASHTO / ITE / NEMA extend the following permission:

- a) you may make or distribute unlimited copies, including derivative works of the PRL (then known as a Profile Implementation Conformance Statement (“PICS”)) or the RTM, provided that each copy you make or distribute contains the citation “Based on NTCIP 0000 [insert the standard number] PRL or RTM. Used by permission. Original text © AASHTO / ITE / NEMA.”;
- b) you may only modify the PRL or the RTM by adding: 1) text in the Project Requirements column, which is the only column that may be modified to show a product’s implementation or the project-specific requirements; and/or 2) additional table columns or table rows that are clearly labeled as ADDITIONAL for project-unique or vendor-unique features; and
- c) if the PRL or RTM excerpt is made from an unapproved draft, add to the citation “PRL (or RTM) excerpted from a draft standard containing preliminary information that is subject to change.”

This limited permission does not include reuse in works offered by other standards development organizations or publishers, and does not include reuse in works-for-hire, compendiums, or electronic

storage devices that are not associated with procurement documents, or commercial hardware, or commercial software products intended for field installation.

A PRL is completed to indicate the features that are supported in an implementation. Visit www.ntcip.org for information on electronic copies of the MIBs, PRLs, and RTMs.

Content and Liability Disclaimer

The information in this publication was considered technically sound by the consensus of persons engaged in the development and approval of the document at the time it was developed. Consensus does not necessarily mean that there is unanimous agreement among every person participating in the development of this document.

AASHTO, ITE, and NEMA standards and guideline publications, of which the document contained herein is one, are developed through a voluntary consensus standards development process. This process brings together volunteers and seeks out the views of persons who have an interest in the topic covered by this publication. While AASHTO, ITE, and NEMA administer the process and establish rules to promote fairness in the development of consensus, they do not write the document and they do not independently test, evaluate, or verify the accuracy or completeness of any information or the soundness of any judgments contained in their standards and guideline publications.

AASHTO, ITE, and NEMA disclaim liability for any personal injury, property, or other damages of any nature whatsoever, whether special, indirect, consequential, or compensatory, directly or indirectly resulting from the publication, use of, application, or reliance on this document. AASHTO, ITE, and NEMA disclaim and make no guaranty or warranty, express or implied, as to the accuracy or completeness of any information published herein, and disclaims and makes no warranty that the information in this document will fulfill any of your particular purposes or needs. AASHTO, ITE, and NEMA do not undertake to guarantee the performance of any individual manufacturer or seller's products or services by virtue of this standard or guide.

In publishing and making this document available, AASHTO, ITE, and NEMA are not undertaking to render professional or other services for or on behalf of any person or entity, nor are AASHTO, ITE, and NEMA undertaking to perform any duty owed by any person or entity to someone else. Anyone using this document should rely on his or her own independent judgment or, as appropriate, seek the advice of a competent professional in determining the exercise of reasonable care in any given circumstances. Information and other standards on the topic covered by this publication may be available from other sources, which the user may wish to consult for additional views or information not covered by this publication.

AASHTO, ITE, and NEMA have no power, nor do they undertake to police or enforce compliance with the contents of this document. AASHTO, ITE, and NEMA do not certify, test, or inspect products, designs, or installations for safety or health purposes. Any certification or other statement of compliance with any health or safety-related information in this document shall not be attributable to AASHTO, ITE, or NEMA and is solely the responsibility of the certifier or maker of the statement.

Trademark Notice

NTCIP is a trademark of AASHTO / ITE / NEMA. All other marks mentioned in this standard are the trademarks of their respective owners.

ACKNOWLEDGEMENTS

NTCIP 2202 was prepared by the NTCIP Base Standards and Profiles Working Group (BSP2 WG), which is a subdivision of the Joint Committee on the NTCIP. The Joint Committee on the NTCIP is organized under a Memorandum of Understanding among the American Association of State Highway and Transportation Officials (AASHTO), the Institute of Transportation Engineers (ITE), and the National Electrical Manufacturers Association (NEMA). The Joint Committee on the NTCIP consists of six representatives from each of the standards development organizations (SDOs) and provides guidance for NTCIP development.

When this document was prepared, the following individuals were members of BSP2 WG:

- Doug Crawford (Chair)
- Steve Bostrom
- Wolfgang Buckel
- Michael Forbis
- Joe Gorman
- Terry Haukom
- Patrick Leung
- Robert Lopes
- Alex Mousadi
- Satya Muthuswamy
- Bob Rausch
- Rodney Schilling
- Shea Tomsin
- Walt Townsend

Other individuals providing input include:

- Ashraf Ahmed
- Justin Anderson
- Kingsley Azubike
- Ralph Boaz
- Russ Brookshire
- Patrick Chan
- Stan Chow
- Deborah Curtis
- Dustin DeVoe
- Brian Doherty
- Edward Fok
- Sai Kiran Golla
- Jacob Grivette
- Mohammad Iraki
- Haydar Issa
- Denver Kruse
- AJ Lahiri
- Stephane Lapierre
- Christopher Lyons
- Gary Molnar
- Keith Patton
- Mahesh Pawar
- Frank Perry
- Nu Rosenbohm
- Jay Schultz
- Kellen Shain
- Douglas Tarico
- Nicola Taveres
- John Thai
- Andrew Valdez
- Kenneth Vaughn
- Sankeer Vulupala
- Robert White

In addition to the many volunteer efforts, recognition is also given to those organizations that supported the efforts of BSP2 WG by providing comments and funding, including:

- U.S. Department of Transportation, Research and Innovative Technology Administration
- U.S. Department of Transportation, Federal Highways Administration
- AECOM
- Applied Information
- City of Anaheim, CA
- Consensus Systems Technologies
- Caltrans
- Daktronics
- Econolite Control Products, Inc.
- Florida Department of Transportation
- Georgia DOT
- Kapsch
- KLD Engineering
- Michigan DOT
- Minnesota DOT
- Nevada DOT
- Noblis
- Parsons

- Pillar Consulting
- Q-Free
- Siemens ITS
- Signalisation Ver-Mac, Inc.
- TransCore
- Transport Canada
- Trevilon
- Washington State DOT
- WSP

FOREWORD

This publication defines a transport profile that is a combination of standards intended to meet specific requirements for transport services in transportation devices and management centers in a networked environment. The scope covers the transport and network layers of the OSI Reference Model. This publication contains mandatory requirement statements that are applicable to all devices claiming conformance to this standard. This publication also contains optional and conditional requirements that may be applicable to a specific environment in which a device is used.

This document was separately balloted and approved by AASHTO, ITE, and NEMA after recommendation by the Joint Committee on the NTCIP. Each organization has approved this standard as the following standard type:

AASHTO – Standard Specification;
ITE – Software Standard;
NEMA – Standard;

For more information about NTCIP standards, visit the NTCIP website at www.ntcip.org.

User Comment Instructions

The term “User Comment” includes any type of written inquiry, comment, question, or proposed revision, from an individual person or organization, about any part of this standards publication’s content. A “Request for Interpretation” is also classified as a User Comment. User Comments are solicited at any time. In preparation of this NTCIP standards publication, input of users and other interested parties was sought and evaluated.

All User Comments will be referred to the committee responsible for developing and/or maintaining this standards publication. The committee chairperson, or their designee, may contact the submitter for clarification of the User Comment. When the committee chairperson or designee reports the committee’s consensus opinion related to the User Comment, that opinion will be forwarded to the submitter. The committee chairperson may report that action on the User Comment may be deferred to a future committee meeting and/or a future revision of the standards publication. Previous User Comments and their disposition may be available for reference and information at www.ntcip.org.

A User Comment should be submitted to this address:

NTCIP Coordinator
National Electrical Manufacturers Association
1300 North 17th Street, Suite 1752
Rosslyn, Virginia 22209-3801
e-mail: ntcip@nema.org

A User Comment should be submitted in the following form:

Standards Publication number and version:
Page:
Section, Paragraph, or Clause:
Comment:
Editorial or Substantive?:
Suggested Alternative Language:

Please include your name, organization, and address in your correspondence.

History

Version	Date	Description (latest on top)
v02	TBD	Updated to add requirements for Datagram Transport Layer Security (DTLS) and Transport Layer Security (TLS) along with general maintenance updates to reference the latest RFCs and a simplification of the presentation.
v01	December 2001	Original version.

Version 1 did not include any mention of transport layer security; version 2 requires support of DTLS for UDP and TLS for TCP; version 2 prohibits implementations that do not support DTLS or TLS.

<This page is intentionally left blank>

INTRODUCTION

This standard defines a transport profile that provides connectionless and connection-oriented transport services over a connectionless network service and is based upon the Internet TCP/IP Protocol Suite. The objective is to facilitate the specification of ITS characterized by a high degree of interoperability and interchangeability of its components.

After research into how national and international standards organizations combine protocols and standards to address all seven layers of the ISO-OSI Reference Model, the committee adopted the approach defined in the *NTCIP Profile Framework*. Following that approach, a protocol stack is specified by application, transport, and subnetwork profiles. An application profile addresses the application, presentation, and session layers. A transport profile addresses the transport and network layers. A subnetwork profile addresses the data link and physical layers. The *NTCIP Internet (TCP/IP and UDP/IP) Transport Profile* (TP-Internet) is a transport profile for use in center-to-roadside and center-to-center communications.

The text includes mandatory requirements in Annex A that are defined as normative.

The following keywords apply to this document: AASHTO, ITE, NEMA, NTCIP, profile, transport, internet, IP, TCP, UDP, DTLS, TLS.

This document uses only metric units.

CONTENTS

Section 1 GENERAL	1
1.1 Scope.....	1
1.2 Profile-Protocol-Layer Relationship.....	Error! Bookmark not defined.
1.3 References	1
1.3.1 Normative References.....	1
1.4 Definitions	Error! Bookmark not defined.
1.5 Abbreviations and Acronyms.....	5
Section 2 CONFORMANCE.....	1
2.1 General Requirements	1
2.1.1 Generation of Profile Implementation Conformance Statement (PICS).....	1
2.1.2 Evolution of Standards	1
2.2 Transport Layer Requirements.....	1
2.2.1 User Datagram Protocol (UDP).....	1
2.2.2 Transmission Control Protocol (TCP).....	1
2.3 Network Layer Requirements.....	2
2.3.1 Internet Protocol Version 4.....	2
2.3.2 Internet Protocol Version 6.....	2
Annex A TCP/ IP AND UDP/IP - TRANSPORT PROFILE REQUIREMENTS LIST	3
A.1 Introduction	3
A.1.1 General	3
A.1.2 Notation	3
A.2 Standards Referenced.....	5
A.3 PICS Requirements Lists	6
A.3.1 Implementation Identification.....	6
A.3.2 Basic Requirements	6
A.4 UDP PICS Proforma	7
A.4.1 UDP Protocol Summary	7
A.4.2 UDP General/Major Capabilities	7
A.5 TCP PICS Proforma	7
A.5.1 TCP Protocol Summary.....	7
A.5.2 TCP General/Major Capabilities	8
A.6 IPv4 PICS Proforma	9
A.6.1 IPv4 Protocol Summary.....	9
A.6.2 IPv4 General/Major Capabilities.....	9
A.7 IPv6 PICS Proforma	10
A.7.1 IPv6 Protocol Summary.....	10
A.7.2 IPv6 General/Major Capabilities.....	10

Section 1 GENERAL

1.1 SCOPE

This standard is applicable to transportation devices and management systems that must operate in Intelligent Transportation Systems. As a transport profile, it specifies a set of protocols and standards applicable to the transport and network layers of the open systems interconnect (OSI) reference model. The set of protocols provides a secure connectionless or connection-oriented transport service over a connectionless network service. This standard is intended to provide secure message transport and delivery services between transportation devices and a management station or among multiple centers. This standard applies to end systems concerned with implementing the TCP/IP protocol suite.

1.2 REFERENCES

The following documents are referenced by this document. At the time of publication, the editions indicated were valid.

1.2.1 Normative References

Normative references contain provisions that, through reference in this text, constitute provisions of this document. All standards are subject to revision, and parties to agreements based on this standard are encouraged to investigate the possibility of applying the most recent editions of the standard listed.

IAB STD 3	<i>(RFC 1122: 1989, Requirements For Internet Hosts - Communication Layers, RFC 1123: 1989, Requirements For Internet Hosts - Application and Support)</i>
IAB STD 5	<i>RFC 791: 1981, Internet Protocol, RFC 792: 1981, Internet Control Message Protocol, RFC 919: 1984, Broadcasting Internet datagrams, RFC 922: 1984, Broadcasting Internet datagrams in the presence of subnets, RFC 950: 1985, Internet standard subnetting procedure, RFC 1112: 1989, Host extensions for IP multicasting)</i>
IAB STD 6	<i>(RFC 768: 1980, User Datagram Protocol)</i>
IAB STD 7	<i>(RFC 9293: 2022, Transmission Control Protocol)</i>
IAB STD 86	<i>(RFC 8200: 2017, Internet Protocol, Version 6 (IPv6) Specification)</i>
RFC 1349	Type of Service in the Internet Protocol Suite, July 1992
RFC 2236	Internet Group Management Protocol, November 1997
RFC 2863	The Interfaces Group MIB, June 2000
RFC 4022	Management Information Base for the Transmission Control Protocol (TCP), March 2005
RFC 4113	Management Information Base for the User Datagram Protocol (UDP), June 2005
RFC 4291	IP Version 6 Addressing Architecture, February 2006
RFC 4293	Management Information Base for the Internet Protocol (IP), April 2006
RFC 4443	Internet Control Message Protocol (ICMPv6) for the Internet Protocol Version 6 (IPv6) Specification, March 2006
RFC 4884	Extended ICMP to Support Multi-Part Messages, April 2007
RFC 6298	Computing TCP's Retransmission Timer, June 2011
RFC 6633	Deprecation of ICMP Source Quench Messages, May 2012
RFC 6864	Updated Specification of the IPv4 ID Field, February 2012
RFC 6918	Formally Deprecating Some ICMPv4 Message Types, April 2013

1.2.2 Other References

Other references are included to provide a more complete understanding of this document and its relationship to other documents.

ISO/IEC 7498-1:1994	<i>Information technology — Open Systems Interconnection — Basic Reference Model: The Basic Model</i>
ISO 21217:2020	<i>Intelligent transport systems — Station and communication architecture</i>

1.2.3 Contact Information

1.2.3.1 Architecture Reference for Cooperative and Intelligent Transportation (ARC-IT)

The Architecture Reference for Cooperative and Intelligent Transportation (ARC-IT) may be viewed online at:

www.arc-it.net

ARC-IT is the US ITS reference architecture and includes all content from the (now deprecated) National ITS Architecture v7.1 and the Connected Vehicle Reference Implementation Architecture (CVRIA) v2.2.

1.2.3.2 Internet Documents

Obtain Request for Comment (RFC) electronic documents from several repositories online at:

www.rfc-editor.org
www.rfc-editor.org/repositories.html

1.2.3.3 NTCIP Standards

Copies of NTCIP standards may be obtained from:

NTCIP Coordinator
National Electrical Manufacturers Association
1300 N.17th Street, Suite 1752
Rosslyn, Virginia 22209-3801
www.ntcip.org
e-mail: ntcip@nema.org

Draft amendments, which are under discussion by the relevant NTCIP Working Group, and amendments recommended by the NTCIP Joint Committee are available.

1.3 GENERAL STATEMENTS

This transport profile specifies the Internet Transport Profile. In addition to specifying the standards to be used for the transport and network layers of the OSI reference model, as defined in ISO/IEC 7498-1, it also addresses aspects of management (e.g., managing the operation of these protocols) and security (e.g., authentication). The ITS station (ITS-S) architecture, as defined in ISO 21217, enhances the traditional OSI reference model and provides a more complete picture of how these features relate to one another. The ITS station architecture combines the OSI application, presentation, and session layers into a single "facilities layer"; combines the OSI transport and network layers into a "networking and transport" (a.k.a., "transnet") layer, and combines the OSI data link and physical layers into a "subnet layer". It also adds a management entity and a security entity that can interact with any of the layers and an application entity that can interact with the facilities layer, the management entity, and the security entity.

This transport profile specifies the provision for connectionless or connection-oriented transport service between two facility layer services via a common access layer.

Figure 1 depicts the ITS station architecture and identifies the standards used by this application profile within each relevant portion of the ITS station architecture. The application entity, facilities layer and subnet layer are subjects of other NTCIP standards.

For the ITS-S transnet layer, this profile provides the major options that are used on the Internet:

1. For the OSI transport layer, the protocol can be either
 - a. transmission control protocol (TCP)
 - b. user datagram protocol (UDP)
2. For the OSI network layer, the protocol can be either
 - a. internet protocol version 6 (IPv6)
 - b. internet protocol version 4 (IPv4)

Either transport layer can be coupled with either network layer. In addition, this profile requires the use of transport layer security (TLS), if the transport protocol is TCP and the use of datagram transport layer security (DTLS), if the transport protocol is UDP. Thus, there are a total of four options, as follows:

1. TLS/TCP/IPv6
2. TLS/TCP/IPv4
3. DTLS/UDP/IPv6
4. DTLS/UDP/IPv4

Support for DTLS over UDP is required. Two implementations are compatible only if they share support for a common set of options (e.g., they both support TLS/UDP/IPv4 and the same access layer).

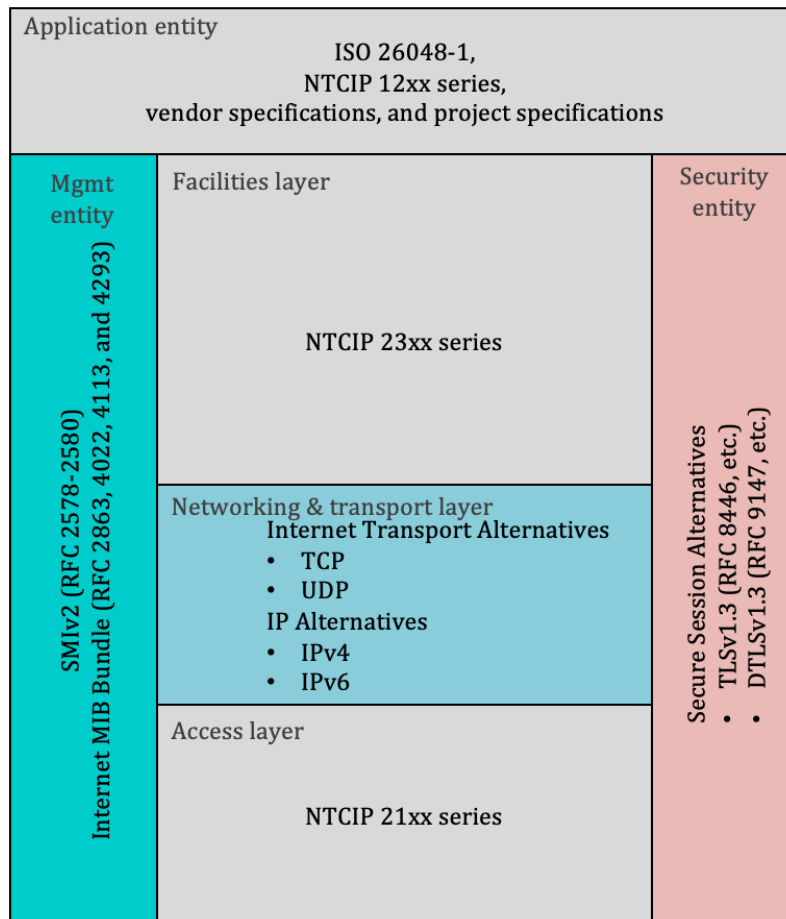


Figure 1: Internet Transport Profile Relationships

Finally, the protocol services are managed through the use of management information defined in the Internet MIB bundle, which includes the MIBs defined in RFCs 2863, 4022, 4113, and 4293. This data is defined according to the rules defined in the second version of the structure and identification of management information (SMIPv2), which is defined in RFC 2578-2580.

Previous versions of this document did not include the use of TLS or DTLS (which are jointly referred to as "(D)TLS"). Implementations without transport layer security do not provide adequate security for modern ITS deployments and the omission of (D)TLS is no longer supported by this transport profile.

1.4 TERMS

For the purposes of this standard, the following definitions apply:

Application entity	A portion of the ITS station architecture that resides above the OSI reference model and represents the end application.
Application Layer	That portion of the OSI Reference Model (Layer 7) that provides access to the communications services.
Data Link Layer	That portion of the OSI Reference Model (Layer 2) responsible for flow control, framing, synchronization, and error control over a communications link.
datagram	A self-contained unit of data transmitted independently of other datagrams.
end system	The source or destination of an information exchange.
Facilities layer	A portion of the ITS station architecture that is equivalent to the Session, Presentation, and Application Layers of the OSI reference model
Intelligent Transportation Systems	A major national initiative to apply information, communication and control technologies in order to improve the efficiency of surface transportation.
intermediate system	A system that participates in an information exchange but is not the source or destination of the exchange.
internet	Any collection of connected networks where information can be passed from one network to another.
Internet protocol	The network protocol offering a connectionless mode network service in the Internet suite of protocols.
Internet Protocol Suite	A collection of computer-communication protocols originally developed under DARPA sponsorship.
Management entity	A portion of the ITS station architecture that provides management functionality of the communications stack and the application entity.
network	A collection of subnetworks connected by intermediate systems and populated by end systems.
Network Layer	That portion of an OSI Reference Model (Layer 3) responsible for data transfer across the network, independent of both the media comprising the underlying subnetworks and the topology of those subnetworks.
Open Systems Interconnection	An international effort to facilitate communications among computers of different manufacture and technology.
OSI Reference Model	A widely accepted structuring technique that provides an abstract representation of the communication process that is divided into seven basic, functional layers.
Physical Layer	That portion of an OSI Reference Model (Layer 1) responsible for the electrical and mechanical interface between communicating systems.
Presentation Layer	That portion of an OSI Reference Model (Layer 6) responsible for converting and organizing data from one format to another.
proforma	A guide provided in advance to prescribe form or describe items.
Security entity	A portion of the ITS station architecture that provides security services to the communication stack and the application entity.
Session Layer	That portion of an OSI Reference Model (Layer 5) which manages a series of data exchanges between end-system applications.
Subnet layer	A portion of the ITS station architecture and logically represents a physical network within a network. All devices on a subnet share a common physical and data link layer.

subnetwork	A physical network within a network. All devices on a subnetwork share a common physical medium.
Transnet layer	A portion of the ITS station architecture that resides above the subnet and provides equivalent functionality to the Network and Transport layers of the OSI reference model.
Transport Layer	That portion of an OSI Reference Model (Layer 4) which attempts to guarantee reliable data transfer between two end-systems, using flow control and error recovery, and may provide multiplexing.

1.5 ABBREVIATIONS AND ACRONYMS

The abbreviations used in this Standard Publication are defined as follows:

AASHTO	American Association of State Highway and Transportation Officials
IAB STD	Internet Advisory Board Standard
IP	Internet Protocol
ISO	International Organization for Standardization
ITE	Institute of Transportation Engineers
ITS	Intelligent Transportation Systems
NEMA	National Electrical Manufacturers Association
NTCIP	National Transportation Communications for ITS Protocol
OSI	Open Systems Interconnection
PICS	Protocol Implementation Conformance Statement
RFC	(Internet) Request for Comments
SNMP	Simple Network Management Protocol
TCP	Transmission Control Protocol
TP	Transport profile
UDP	User Datagram Protocol

Section 2 CONFORMANCE

2.1 GENERAL REQUIREMENTS

2.1.1 Generation of Profile Implementation Conformance Statement (PICS)

All implementations of this standard shall be supplied with a PICS generated by the implementer or supplier by using the protocol requirements list contained in Annex A to indicate the appropriate level of support provided by the implementation.

2.1.2 Evolution of Standards

Within many standards organizations, updates are achieved by issuing an amendment or creating a new edition of the standard, which is assigned the same document identifier with a new version and/or date. While the Internet Architecture Board (IAB) follows this approach with standards (i.e., those with STD designations), the Internet Engineering Task Force (IETF) assigns a new (typically sequential) number to each RFC published, even when it replaces an existing RFC. While updates and revisions of RFCs are listed at the top of an official RFC, there are many secondary sites from which RFCs can be downloaded. For the more recent update and revision information, RFCs should be downloaded from the <https://www.rfc-editor.org/> website.

The STDs and RFCs referenced by this document define a baseline definition of conformance based on the standards published at the time that this document was developed. This document is intended to promote interoperability and unambiguously defining the scope of RFCs covered; it is not intended to restrict the implementation of updates or revisions to these RFCs. Parties to agreements based on this document are encouraged to investigate the possibility of applying the most recent updates and revisions in a manner that will promote secure interoperability of ITS equipment.

2.2 TRANSPORT LAYER REQUIREMENTS

2.2.1 User Datagram Protocol (UDP)

A conforming implementation of this profile shall support DTLS/UDP by conforming to:

- a. RFC 9147
- b. IAB STD 6 (RFC 768),
- c. RFC 1122, Clause 4.1,
- d. RFC 4113 with support for `udpMIBCompliance2`.

A conforming UDP server shall require certificate-based client authentication.

A conforming UDP client shall support certificate-based client authentication and post-handshake authentication.

Annex A.4 summarizes the requirements of the above references.

2.2.2 Transmission Control Protocol (TCP)

A conforming implementation of this profile may support TLS/TCP. Implementations claiming conformance to TCP shall conform to:

- a. RFC 8446
- b. IAB STD 7 (RFC 9293);
- c. RFC 1122, Clause 4.2, as updated by RFC 6298 and RFC 9293
- d. If IPv4 is supported, RFC 1191;

- e. If IPv6 is supported, RFC 1981;
- f. RFC 4022 with support for `tcpMIBCompliance2`.

A conforming TCP server shall require certificate-based client authentication.

A conforming TCP client shall support certificate-based client authentication and post-handshake authentication.

A TCP implementation may support RFC 4821.

Annex A.5 summarizes the requirements of the above references.

2.3 NETWORK LAYER REQUIREMENTS

2.3.1 Internet Protocol Version 4

A conforming implementation of this profile may support IPv4. Implementations claiming conformance to `Ipv4` shall support the following elements as stated:

- a. IAB STD 5, including:
 - i. RFC 791, as updated by RFC 1349, RFC 6864, and errata;
 - ii. RFC 792, as updated by RFC 950, RFC 4884, RFC 6633, RFC 6918, and errata;
 - iii. RFC 919;
 - iv. RFC 922;
 - v. RFC 950, as updated by RFC 6918;
 - vi. RFC 1112, as updated by RFC 2236;
- b. RFC 1122, Section 3, as updated by RFC 1349, RFC 6633, and RFC 6864;
- c. RFC 2863, as updated by RFC 8892 and errata, with support for `ifCompliance3`; and
- d. RFC 4293 with support for `ipMIBCompliance2`.

Annex A.6 summarizes the requirements of the above references.

2.3.2 Internet Protocol Version 6

A conforming implementation of this profile may support IPv6; an implementation that does not support IPv4 shall support IPv6. Implementations claiming conformance to `IPv6` shall support the following elements as stated:

- a. IAB STD 86 (RFC 8200);
- b. RFC 4443, as updated by RFC 4884;
- c. RFC 1122, Section 3;
- d. RFC 2863, as updated by RFC 8892 and errata, with support for `ifCompliance3`; and
- e. RFC 4293 with support for `ipMIBCompliance2`.

An IPv6 implementation may support RFC 4821.

Annex A.7 summarizes the requirements of the above references.

Annex A TCP/ IP AND UDP/IP - TRANSPORT PROFILE REQUIREMENTS LIST (Normative)

A.1 INTRODUCTION

A.1.1 General

This annex provides the Profile Requirements List (PRL) for implementations of the Internet (TCP/IP and UDP/IP) – Transport Profile. A Profile Implementation Conformance Specification (PICS) for an implementation is generated by an implementer or supplier by indicating the appropriate level of support provided by an implementation.

To claim conformance with this profile, an implementation shall satisfy the mandatory conformance requirements of this profile.

An implementation's completed PRL is called the PICS. The PICS states which capabilities and options of the protocol have been implemented. The following can use the PICS:

- a. The protocol implementer, as a checklist to reduce the risk of failure to conform to the standard through oversight.
- b. The supplier and user, as a detailed indication of the capabilities of the implementation.
- c. The user, as a basis for initially checking the possibility of interworking with another implementation (note that, while interworking can never be guaranteed, failure to do so can often be predicted from incompatible PICSs).
- d. A user, as the basis for selecting appropriate tests against which to assess the claim for conformance of the implementation.

A.1.2 Notation

The following notations and symbols are used to indicate status and conditional status in the PRL and PICS within all NTCIP standards. Not all of these notations and symbols may be used within this standard.

A.1.2.1 Status Symbols

The following symbols are used to indicate base standard and profile status:

m	mandatory
m.<n>	support of every item of the group labeled by the same numeral <n> required, but only one is active at time
o	optional
o.<n>	optional, but support of at least one of the group of options labeled by the same numeral <n> is required
c	conditional
n/a	not-applicable (i.e., logically impossible in the scope of the profile)
x	excluded or prohibited

The o.<n> notation is used to show a set of selectable options (i.e., one or more of the set must be implemented) with the same identifier <n>. Two-character combinations are used for dynamic conformance requirements. In this case, the first character refers to the static (implementation) status, and the second refers to the dynamic (use); thus "mo" means "mandatory to be implemented, optional to be used." Base standard requirements are shown using the equivalent notations in upper case (e.g., M, O, X).

The classification of the requirements and options in internet RFCs does not correspond to the convention described in above, and shall be mapped into the profile as follows:

RFC	Profile
MUST	Mandatory ¹
SHOULD	Mandatory ¹
MAY	Optional
SHOULD NOT	Prohibited
MUST NOT	Prohibited

A.1.2.2 Conditional Status Notation

The following predicate notations may be used:

<predicate>:	This notation introduces a single item that is conditional on the <predicate>.
<predicate>::	This notation introduces a table or a group of tables, all of which are conditional on the <predicate>.

The <predicate>: notation means that the status following it applies only when the PRL or PICS states that the feature or features identified by the predicate are supported. In the simplest case, <predicate> is the identifying tag of a single PICS item. The <predicate>:: notation may precede a table or group of tables in a clause or subclause. When the group predicate is true then the associated clause shall be completed. The symbol <predicate> also may be a Boolean expression composed of several indices. "AND", "OR", and "NOT" shall be used to indicate the Boolean logical operations.

A.1.2.3 Support Column Symbols

This profile is in the form of a PICS and, therefore, includes a support column. An implementer claims support of an item by circling the appropriate answer (Yes, No, or N/A) in the support column:

Yes	Supported by the implementation.
No	Not supported by the implementation.
N/A	Not applicable

A.1.2.4 Footnotes

Footnotes to the proforma are indicated by superscript numerals. The footnote appears on the page of the first occurrence of the numeral. Subsequent occurrences of a numeral refer to the footnote of the first occurrence.

¹ In the course of adapting communications industry standards to the transportation industry, there may be exceptions where specific mandatory requirements are not applicable to the new environment. Where these exceptions are made, a justification shall be provided.

A.1.2.5 Instructions for Completing the PRL

A Profile implementer shows the extent of compliance to a Profile by completing the PRL. The implementer indicates whether mandatory requirements are complied with, and whether optional functions are supported. The resulting completed PRL is called a PICS. Where this profile refines the features of the base standards, the requirements expressed in this PRL shall be applied (as indicated in PRL items with no "Profile Support" column) to constrain the allowable responses in the base standard PICS proforma. When this profile makes additional requirements, the "Support" column for such PRLs shall be completed. In this column, each response shall be selected either from the indicated set of responses, or it shall comprise one or more parameter values as requested. If a conditional requirement is inapplicable, use the Not Applicable (NA) choice. If a mandatory requirement is not satisfied, exception information must be supplied by entering a reference Xi, where i is a unique identifier, to an accompanying rationale for the noncompliance. When the profile requirement is expressed as a two-character combination (as defined in A.1.1 above), the response shall address each element of the requirement; e.g., for the requirement "mo," the possible compliant responses are "yy" or "yn."

A.2 STANDARDS REFERENCED

This profile references the following standards:

IAB STD 3	<i>(RFC 1122: 1989, Requirements For Internet Hosts - Communication Layers, RFC 1123: 1989, Requirements For Internet Hosts - Application and Support)</i>
IAB STD 5	<i>RFC 791: 1981, Internet Protocol, RFC 792: 1981, Internet Control Message Protocol, RFC 919: 1984, Broadcasting Internet datagrams, RFC 922: 1984, Broadcasting Internet datagrams in the presence of subnets, RFC 950: 1985, Internet standard subnetting procedure, RFC 1112: 1989, Host extensions for IP multicasting)</i>
IAB STD 6	<i>(RFC 768: 1980, User Datagram Protocol)</i>
IAB STD 7	<i>(RFC 9293: 2022, Transmission Control Protocol)</i>
IAB STD 86	<i>(RFC 8200: 2017, Internet Protocol, Version 6 (IPv6) Specification)</i>
RFC 1349	Type of Service in the Internet Protocol Suite, July 1992
RFC 2236	Internet Group Management Protocol, November 1997
RFC 2863	The Interfaces Group MIB, June 2000
RFC 4022	Management Information Base for the Transmission Control Protocol (TCP), March 2005
RFC 4113	Management Information Base for the User Datagram Protocol (UDP), June 2005
RFC 4291	IP Version 6 Addressing Architecture, February 2006
RFC 4293	Management Information Base for the Internet Protocol (IP), April 2006
RFC 4443	Internet Control Message Protocol (ICMPv6) for the Internet Protocol Version 6 (IPv6) Specification, March 2006
RFC 4884	Extended ICMP to Support Multi-Part Messages, April 2007
RFC 6298	Computing TCP's Retransmission Timer, June 2011
RFC 6633	Deprecation of ICMP Source Quench Messages, May 2012
RFC 6864	Updated Specification of the IPv4 ID Field, February 2012
RFC 6918	Formally Deprecating Some ICMPv4 Message Types, April 2013

A.3 PICS REQUIREMENTS LISTS

A.3.1 Implementation Identification

Ref	Question	Response
1	Supplier	
2	Contact point for queries about the profile	
3	Implementation Name(s) and Version(s)	
4	Date of statement	
5	Other Information: Machine Name, Operating Systems, System Name	
6	Amendments or revisions to the base standards or profiles that are applicable.	

A.3.2 Basic Requirements

The following table lists the major requirements for a TCP/IP or UDP/IP implementation, and asks if the listed protocols and object definition groups have been implemented:

Index	Protocol	Clause of Profile	Profile Status	Support
tcp	IAB STD 7 (RFC 793), TCP and IAB STD 3 (RFC 1122), InHost Section 4.2, implemented?	2.2.2	o	Yes No
udp	IAB STD 6 and IAB STD 3, RFC1122, Section 4.1, UDP, implemented?	2.2.1	m	Yes
ipv4	IAB STD 5 and IAB STD 3, RFC 1122, Section 3, IP, implemented?	2.3.1	o.1 (1..*)	Yes
ipv6	IAB STD 5 and IAB STD 3, RFC 1122, Section 3, ICMP, implemented?	2.3.2	o.1 (1..*)	Yes
plpmtud	Packetization Layer Path Maximum Transmission Unit (MTU) Discovery	2.3.1 2.3.2	o	Yes No

A.4 UDP PICS PROFORMA

A.4.1 UDP Protocol Summary

Protocol Version	
Addenda Implemented	
Amendments Implemented	
Have any exceptions been required? (Note: A YES answer means that the implementation does not conform to the Transmission Control Protocol/User Datagram Protocol. Non-supported mandatory capabilities are to be identified in the PICS, with an explanation of why the implementation is non-conforming.)	Yes _____ No _____
Date of Statement	

A.4.2 UDP General/Major Capabilities

Item	Protocol Feature	Base Standard		Profile		Support
		Reference	Status	Clause	Status	
dtls	Datagram Transport Layer Security Version 1.3	RFC 9147	M	2.2.1	m	Yes
udp	User Datagram Protocol	RFC 768	M		m	Yes
udpauth	UDP certificate-based client authentication	RFC 9147	O		m	Yes
udphost	Internet Hosts -- UDP	RFC 1122, Clause 4.1	M		m	Yes
udpmib	udpMIBCompliance2	RFC 4113	M		m	Yes

A.5 TCP PICS PROFORMA

A.5.1 TCP Protocol Summary

Protocol Version	
Addenda Implemented?	
Amendments Implemented?	
Have any exceptions been required? (Note: A YES answer means that the implementation does not conform to the Transmission Control Protocol. Non-supported mandatory capabilities are to be identified in the PICS, with an explanation of why the implementation is non-conforming.)	Yes _____ No _____
Date of Statement	

A.5.2 TCP General/Major Capabilities

Item	Protocol Feature	Base Standard		Profile		Support
		Reference	Status	Clause	Status	
tls	Transport Layer Security Version 1.3	RFC 8446	M	2.2.2	m	Yes
tcp	Transmission Control Protocol	RFC 9293	M		m	Yes
tcpauth	TCP certificate-based client authentication	RFC 8446	O		m	Yes
host	Internet Hosts -- TCP	RFC 1122, Clause 4.2	M		m	Yes
timer	Computing TCP's Retransmission Timer	RFC 6298	M		m	Yes
pmtud	Path MTU Discovery for IP Version 4	RFC 1191	ipv4: M		ipv4:m	Yes NA
pmtudv6	Path MTU Discovery for IP Version 6	RFC 1981	ipv6: M		ipv6:m	Yes NA
plpmtud	Packetized Layer Path MTU Discovery	RFC 4821	O		o	Yes No
tcpmib	tcpMIBCompliance2	RFC 4022	M		m	Yes

A.6 IPV4 PICS PROFORMA

A.6.1 IPv4 Protocol Summary

Protocol Version	
Addenda Implemented	
Amendments Implemented	
Have any exceptions been required? (Note: A YES answer means that the implementation does not conform to the Internet Protocol. Non-supported mandatory capabilities are to be identified in the PICS, with an explanation of why the implementation is non-conforming.)	Yes_____ No_____
Date of Statement	

A.6.2 IPv4 General/Major Capabilities

Item	Protocol Feature	Base Standard		Profile		Support
		Reference	Status	Clause	Status	
ipv4	Internet Protocol	RFC 791	M	2.3.1	m	Yes
icmp	Internet Control Message Protocol	RFC 792	M		m	Yes
broad	Broadcasting Internet Datagrams	RFC 919	M		m	Yes
b-subnet	Broadcasting Internet Datagrams in the Presence of Subnets	RFC 922	M		m	Yes
subnet	Internet Standard Subnetting Procedures	RFC 950	M		m	Yes
host-m	Host Extensions for IP Multicasting	RFC 1112	M		m	Yes
ipv4-host	Requirements for Internet Hosts -- IP	RFC 1122	M		m	Yes
type	Type of Service in the Internet Protocol Suite	RFC 1349	M		m	Yes
igmp	Internet Group Management Protocol, Version 2	RFC 2236	M		m	Yes
e-icmp	Extended ICMP to Support Multi-Part Messages	RFC 4884	M		m	Yes
quench	Deprecation of ICMP Source Quench Messages	RFC 6633	M		m	Yes
update	Updated Specification of the IPv4 ID Field	RFC 6864	M		m	Yes
dep-icmp	Formally Deprecating Some ICMPv4 Message Types	RFC 6918	M		m	Yes
if-mib	The Interfaces Group MIB - ifCompliance3	RFC 2863	M		m	Yes
ip-mib	MIB for IP - ipMIBCompliance	RFC 4293	M	m	Yes	

A.7 IPV6 PICS PROFORMA

A.7.1 IPv6 Protocol Summary

Protocol Version	
Addenda Implemented	
Amendments Implemented	
Have any exceptions been required? (Note: A YES answer means that the implementation does not conform to the Internet Protocol. Non-supported mandatory capabilities are to be identified in the PICS, with an explanation of why the implementation is non-conforming.)	Yes_____ No_____
Date of Statement	

A.7.2 IPv6 General/Major Capabilities

Item	Protocol Feature	Base Standard		Profile		Support
		Reference	Status	Clause	Status	
ipv6	Internet Protocol, Version 6 (IPv6) Specification	RFC 8200	M	2.3.2	m	Yes
icmpv6	Internet Control Message Protocol	RFC 4443	M		m	Yes
ipv4-host	Requirements for Internet Hosts -- IP	RFC 1122	M		m	Yes
e-icmp	Extended ICMP to Support Multi-Part Messages	RFC 4884	M		m	Yes
if-mib	The Interfaces Group MIB - ifCompliance3	RFC 2863	M		m	Yes
ip-mib	MIB for IP - ipMIBCompliance	RFC 4293	M		m	Yes

§